Applicant, Patentee or Identifie			
Applicant, Patentee or Identifie			
		Attorney's	
Application or Patent No.: 09/	497.272	Docket No.: <u>J99.10</u>	
iled or Issued: 2/3/00			•
itle "Data Processing Syste	m and Method for Managing Brol	ker Transaction Information"	;
STA	TEMENT CLAIMING SMALI	ENTITY	
	R 1.9(f) AND 1.27(b)) - INDEPE		
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7 CFR 1.9(c) for purposes of p	ereby declare that I qualify as an in paying reduced fees under Section Frademark Office with regard to the	ndependent inventor as defined in 41(a) and (b) of Title 35, United the invention described in	
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[x] the application iden	inted above.		
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have not assigned, granted, co	nveyed or licensed and am under	no obligation under contract or law	
assign, grant, convey or licen	se, any rights in the invention to a	my person who would not qualify	
s an independent inventor und	er 37 CFR 1.9(c) if that person had	a made the invention, or to any	,
oncern which would not qualif	fy as a small business concern und	ler 37 CFR 1.9(d) or a nonprofit	
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ach person, concern or organiz	zation to which I have assigned, g	ranted, conveyed, or licensed or am	
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evention is listed below:			
[x] no such person, con	cern or organization.		
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[] common process			
Separate statements are required he invention stating their statu	d from each named person, concers as small entities. (37 CFR 1.27)	rn or organization having rights to	
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Applicant, Patentee or Ider avid W. LaFore and Kyle L. Attorn Application No. or Patent No. 09/497,272 Brenn Dock Filed or Issued: 2/3/00
Title: "Data Processing System and Method for Managing Broker

STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN

 the owner of the small business concern [x]x an official of the small business concern below: 	identified below: empowered to act on behalf of the concern identified
ME OF SMALL BUSINESS CONCERN	Data Technology Services
DRESS OF SMALL BUSINESS CONCERN	10920 West Alameda Avenue
	Lakewood, CO 80226
I3 CFR Part 121, for purposes of paying reduced for the number of employees of the concern, including poses of this statement, (1) the number of employer is including the persons employers to the pay periods of the fiscal year, and (2) continued to the pay periods of the fiscal year, and (2) continued to the pay periods of the fiscal year, and (2) continued to the pay periods of the fiscal year, and (2) continued to the pay periods of the fiscal year, and (2) continued to the pay periods of the fiscal year, and (2) continued to the pay periods of the fiscal year, and (2) continued to the pay periods of the fiscal year, and (2) continued to the pay periods of the fiscal year.	s concern qualifies as a small business concern as defined ees in the United States Patent and Trademark Office, in a those of its affiliates, does not exceed 500 persons. For ees of the business concern is the average over the ployed on a full-time, part-time or temporary basis during acrems are affiliates of each other when, either directly or control the other, or a third party or parties controls or has
ereby declare that rights under contract or law have	e been conveyed to and remain with the small business
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****xthe specification filed herewith with title a [] the application identified above.	as listed above.
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rights to the invention are held by any person, other ependent inventor under 37 CFR 1.9(c) if that person alify as a small business concern under 37 CFR 1.9 ch person, concern, or organization having any right	son made the invention, or by any concern which would not 9(d), or a nonprofit organization under 37 CFR 1.9(e).
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